

High-Resolution Interferometric Synthetic Aperture Imaging in Scattering Media*

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Abstract. The goal of synthetic aperture imaging is to estimate the reflectivity of a remote region of interest by processing data gathered with a moving sensor which emits periodically a signal and records the backscattered wave. We introduce and analyze a high-resolution interferometric method for synthetic aperture imaging through an unknown scattering medium which distorts the wave. The method builds on the coherent interferometric approach which uses empirical cross-correlations of the measurements to mitigate the distortion, at the expense of a loss of resolution of the image. The new method shows that, while mitigating the wave distortion, it is possible to obtain a robust and sharp estimate of the modulus of the Fourier transform of the reflectivity function. A high-resolution image can then be obtained by a phase retrieval algorithm.

Key words. synthetic aperture, random media, imaging, interferometric

AMS subject classifications. 35Q93, 58J90, 45Q05

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1. Introduction. In synthetic aperture imaging, a sensor mounted on a moving platform (e.g., plane, satellite) emits a signal $s(t - nT)$ at time instants nT , counted by $n = 0, 1, \dots, N$, and records the backscattered wave, the “response” $R_n(t)$. The goal of imaging is to estimate the reflectivity $\rho(\mathbf{x})$ of a remote region of interest from

$$(1.1) \quad \text{data} = \{R_n(t) \text{ for } t \in (0, T), n = 0, \dots, N\}.$$

Commonly used signals $s(t)$ are broadband pulses defined by an envelope of small temporal width of order $1/B \ll T$, where B is the bandwidth, modulated at carrier frequency $\omega_o \gg B$, or chirped pulses with the bandwidth B and a temporal width that is larger than $1/B$ but smaller than T [5, Chapter 4]. In this paper we assume that $s(t)$ is a broadband pulse. The variables t and nT are referred to as the “fast time” and the “slow time,” respectively. The trajectory of the platform can be arbitrary, but for simplicity, and without loss of generality, we suppose that it is straight and the motion is uniform, so that the signal emission is from the regularly spaced positions \mathbf{x}_n , for $n = 0, \dots, N$ (see Figure 1.1). The line segment connecting \mathbf{x}_0 to \mathbf{x}_N is called the synthetic aperture, and its length $a = |\mathbf{x}_N - \mathbf{x}_0|$ is the aperture size.

The data (1.1) have only two degrees of freedom, so it is not possible to estimate a reflectivity function $\rho(\mathbf{x})$ in three dimensions. Here we consider the problem in two dimensions,

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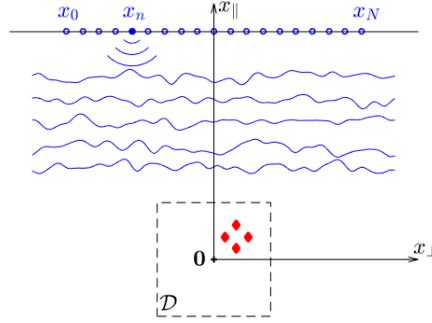


Figure 1.1. Synthetic aperture imaging setup, where the sensor has the successive positions $(\mathbf{x}_n)_{n=0}^N$ in a linear aperture. The imaging region \mathcal{D} which supports the reflectivity is centered at the origin $\mathbf{0}$, the range coordinate is denoted by x_{\parallel} , and the cross-range by x_{\perp} . The medium between the aperture and the imaging region is randomly heterogeneous.

but the results extend to imaging in three dimensions on a surface with known topography. Again, for simplicity, we center the aperture above the remote region \mathcal{D} of interest, and we introduce the system of coordinates $\mathbf{x} = (x_{\parallel}, x_{\perp})$ with origin at the center of \mathcal{D} , the “range coordinate” x_{\parallel} measured along the main direction of propagation, orthogonal to the aperture, and “cross-range coordinate” x_{\perp} measured along the aperture.

The classic synthetic aperture imaging method assumes that the medium between the sensor and the imaging region is nonscattering, with smooth and known wave speed. The imaging function is given roughly by the sum over n of the returns $R_n(t)$ evaluated (synchronized) at the roundtrip travel time between \mathbf{x}_n and the imaging point [4, 5]. When this point lies in the support of $\rho(\mathbf{x})$, denoted by $\text{supp}(\rho)$, the synchronized returns add constructively and the imaging function is large. Therefore, the set $\text{supp}(\rho)$ can be estimated from the imaging function displayed in \mathcal{D} above some user defined threshold value. In the particular case of a homogeneous medium with constant wave speed c , and for an idealized reflectivity supported at two points, well known resolution formulas [5, Chapter 1] state that these points can be distinguished if they are separated by a distance of order c/B in range and $\lambda_o L/a$ in cross-range, where $\lambda_o = 2\pi c/\omega_o$ is the carrier wavelength and L is the range offset from the aperture.

We are interested in imaging in heterogeneous media with microstructure, as sketched in Figure 1.1, where the wave speed fluctuates about a known reference profile, which we take equal to the constant c for simplicity. The fluctuations have small amplitude and occur on a length scale that is much smaller than L . They are unknown and cannot be estimated as part of imaging from the band limited data (1.1). Thus, there is uncertainty in the wave propagation, which motivates modeling the wave speed as a random perturbation of the reference c . This model introduces a stochastic framework where we can quantify the robustness of imaging methods with respect to the uncertainty of the microstructure. Robust images cannot be obtained by empirical averaging over many realizations of the random wave speed, because the imaging experiment occurs in a single medium. However, with careful data processing, it is possible to get images that are practically insensitive to the particular realization, i.e., are statistically stable.

The cumulative scattering effect of the microstructure (the wave distortion) depends in a complicated way on the amplitude of the fluctuations of the wave speed as well as the relation between the length scale of the fluctuations, the carrier wavelength, and the travel distance. When the distortion is strong, the classic synthetic aperture imaging method [4, 5] gives noisy images that are difficult to interpret and unreliable (statistically unstable). Different data processing is needed to mitigate the wave distortion, based on the empirical cross-correlations of the measurements. The coherent interferometric (CINT) method [1, 2] forms an image using such cross-correlations. It is known [13, 14, 16, 17] that scattering causes statistical decorrelation of the time-harmonic components of the wave field over a frequency offset Ω_d called “decoherence frequency” and a spatial offset X_d called “decoherence length.” These scales depend on the statistics of the fluctuations of the wave speed, not the particular realization, and CINT takes them into account by calculating the empirical cross-correlations in a time window of duration $1/\Omega$ and for sensor locations that are within a distance X of each other. The image is then formed by superposing the empirical cross-correlations synchronized relative to the imaging point with travel time delays calculated in the reference medium. There is a trade-off between the resolution of the image and its robustness to the uncertainty of the microstructure, which is quantified by the threshold parameters X and Ω [1, 2]. The smaller these are, the less sensitive is the CINT image to the microstructure, as long as the aperture and the bandwidth are large enough. However, the range resolution is of the order c/Ω and the cross-range resolution is of the order of $\lambda_o L/X$, so robustness comes at the cost of loss of resolution. The optimal choice is $X \approx X_d < a$ and $\Omega \approx \Omega_d < B$, and in practice this can be determined by optimizing a measure of quality of the image [2].

CINT has been used for imaging with arrays of sensors [1, 2] (see also references therein) and with synthetic apertures [11]. A modification of CINT introduced recently in [3] in the context of imaging a constellation of point sources with a passive array of receivers shows that it is possible to localize the sources with resolution that is comparable to that in the homogeneous medium. More precisely, nearby point sources within a blurry peak of the CINT function can be resolved with resolution c/B in range and $\lambda_o L/a$ in cross-range, up to an overall (rigid body) translation and rotation of the constellation. The algorithm in [3] involves a point search (it is targeted toward imaging a constellation of points) and has prohibitive computational cost for many sources. In this paper we extend the ideas in [3] to synthetic aperture imaging of a general reflectivity $\rho(\mathbf{x})$, based on a new HCINT imaging function, where the acronym stands for high-resolution CINT. We show that on one hand this function is robust to the uncertainty of the fluctuations of the wave speed and on the other hand it allows a precise estimate of the modulus of the Fourier transform of $\rho(\mathbf{x})$. A high-resolution image can then be obtained from this estimate using phase retrieval [6, 7, 8, 9, 15].

Our mathematical analysis of HCINT is based on a geometrical optics model of wave propagation through random media. This simple model accounts for wavefront distortion and allows an explicit quantification of robustness, i.e., calculation of the variance of the imaging function. The HCINT method is not model specific, and it can also be analyzed with more complex wave propagation models like in [12].

The paper is organized as follows: We begin in section 2 with the mathematical formulation of the problem and the expression of the three imaging functions: classic synthetic aperture imaging, CINT, and HCINT. The model of wave propagation in the random medium

is described in section 3, and it is used to analyze the imaging functions in section 4. The estimation of the modulus of the Fourier coefficients of the reflectivity function and the subsequent imaging based on phase retrieval are in section 5. We present numerical results in section 6 and end with a summary in section 7.

2. Formulation of the problem and the imaging functions. The wave $u_n(t, \mathbf{x})$ emitted from the location $\mathbf{x}_n = (L, x_{n\perp})$ in the aperture satisfies

$$(2.1) \quad \frac{1}{c^2} \left[1 + \sigma \mu \left(\frac{\mathbf{x}}{\ell_c} \right) + \rho(\mathbf{x}) \right] \partial_t^2 u_n(t, \mathbf{x}) - \Delta u_n(t, \mathbf{x}) = s(t - nT) \delta(\mathbf{x} - \mathbf{x}_n)$$

for $n = 0, \dots, N$, time $t \in \mathbb{R}$, and position $\mathbf{x} \in \mathbb{R}^2$, with the initial condition

$$(2.2) \quad u_n(t, \mathbf{x}) \equiv 0, \quad t \in (-\infty, nT) \setminus \text{supp}(s(t - nT)).$$

Here c is the constant reference wave speed, μ models the random fluctuations, and ρ is the unknown reflectivity, assumed compactly supported away from the aperture. The random process μ is statistically homogeneous, with mean zero and integrable autocovariance

$$(2.3) \quad \mathcal{R}(\mathbf{x} - \mathbf{x}') = \mathbb{E}[\mu(\mathbf{x})\mu(\mathbf{x}')],$$

normalized so that

$$(2.4) \quad \mathcal{R}(\mathbf{0}) = 1 \text{ and } \int_{\mathbb{R}^2} d\mathbf{x} \mathcal{R}(\mathbf{x}) = 1.$$

We assume henceforth, for convenience and without loss of generality, the Gaussian autocovariance

$$(2.5) \quad \mathcal{R}(\mathbf{x}) = \exp(-\pi|\mathbf{x}|^2).$$

The dimensionless parameter σ in (2.1) is the standard deviation of the random fluctuations, and the length scale ℓ_c is the correlation length.

The inverse problem is to estimate the reflectivity ρ from the data (1.1). We study its solution using the three imaging functions given in sections 2.1–2.3. Their expression is based on two standard approximations: (1) the “start-stop” approximation [4, 5] which assumes that the sensor movement during the roundtrip travel time to the imaging region \mathcal{D} is negligible; (2) the single scattering (Born) approximation which assumes that the reflectivity ρ is weak so that the wave components multiply scattered by the reflectivity ρ can be neglected compared to the single-scattered components. The data model is

$$(2.6) \quad \begin{aligned} R_n(t) &= u_n(t, \mathbf{x}_n) + W_n(t) \\ &= \frac{1}{2\pi} \int_{\mathbb{R}} d\omega e^{-i\omega(t-nT)} \widehat{s}(\omega) k^2 \int_{\mathbb{R}^2} d\mathbf{y} \rho(\mathbf{y}) \widehat{G}_\mu^2(\omega, \mathbf{y}, \mathbf{x}_n) + W_n(t), \end{aligned}$$

where $k = \omega/c$ is the wavenumber and we denote with “hat” the Fourier transform with respect to time, defined with the convention

$$\widehat{s}(\omega) = \int_{\mathbb{R}} dt s(t) e^{i\omega t}, \quad s(t) = \frac{1}{2\pi} \int_{\mathbb{R}} d\omega e^{-i\omega t} \widehat{s}(\omega).$$

The propagation through the random medium is modeled in (2.6) with the Green's function \widehat{G}_μ of the Helmholtz equation with wave speed $c[1 + \sigma\mu(\mathbf{x}/\ell_c)]^{-1/2}$, and W_n denotes additive noise. For convenience, we let $W_n(t)$ be Gaussian, white in time t and uncorrelated in n , with mean zero and covariance given in the frequency domain by

$$(2.7) \quad \mathbb{E}[\widehat{W}_n(\omega)\overline{\widehat{W}_{n'}(\omega')}] = \sigma_W^2 \delta(\omega - \omega') \delta_{nn'},$$

where $\delta_{nn'}$ stands for the Kronecker symbol. The bar is used throughout to denote complex conjugate.

2.1. Synthetic aperture radar (SAR) imaging. The fluctuations of the wave speed are neglected in the standard synthetic aperture imaging method, meaning that the wave propagation is modeled by the Green's function in the reference medium:

$$(2.8) \quad \widehat{G}(\omega, \mathbf{x}, \mathbf{y}) = \frac{i}{4} H_0^{(1)}(k|\mathbf{x} - \mathbf{y}|) \approx \frac{\exp(ik|\mathbf{x} - \mathbf{y}| + i\frac{\pi}{4})}{2^{3/2} \sqrt{\pi k} |\mathbf{x} - \mathbf{y}|},$$

where $H_0^{(1)}$ is the Hankel function of the first kind and of order 0 and the approximation is for a large distance $|\mathbf{x} - \mathbf{y}|$ with respect to the wavelength $\lambda = 2\pi/k$, with $k = \omega/c$.

The imaging function

$$(2.9) \quad \begin{aligned} \mathcal{I}_{\text{SAR}}(\mathbf{y}^S) &= \left| \sum_{n=0}^N \int_{\mathbb{R}} dt R_n(t) \overline{F_n(t - nT, \mathbf{y}^S)} \right|^2 \\ &= \left| \frac{1}{2\pi} \sum_{n=0}^N \int_{\mathbb{R}} d\omega \widehat{R}_n(\omega) \overline{\widehat{F}_n(\omega, \mathbf{y}^S)} e^{-i\omega nT} \right|^2 \end{aligned}$$

is the superposition of the data (1.1) convolved (matched filtered) with

$$(2.10) \quad F_n(t, \mathbf{y}^S) = \frac{1}{2\pi} \int_{\mathbb{R}} d\omega e^{-i\omega t} \widehat{F}_n(\omega, \mathbf{y}^S), \quad \widehat{F}_n(\omega, \mathbf{y}^S) = \widehat{s}(\omega) \widehat{G}^2(\omega, \mathbf{y}^S, \mathbf{x}_n).$$

Note that F_n is the data model for a point reflector at the search (imaging) point \mathbf{y}^S in the reference medium. The matched filtering is called henceforth ‘‘backpropagation’’ to the imaging point \mathbf{y}^S . At long range $|\mathbf{x}_n - \mathbf{y}^S| \sim L \gg a$, where ‘‘ \sim ’’ means of the order of, and neglecting constant amplitude factors, the backpropagation amounts to evaluating the response $R_n(t)$ at the roundtrip travel time $2|\mathbf{x}_n - \mathbf{y}^S|/c$. The index SAR in (2.9) is the acronym for synthetic aperture radar, the most common application of the synthetic aperture imaging modality.

2.2. The CINT imaging function. The CINT imaging function is obtained by backpropagating selected empirical cross-correlations of the measured responses, for nearby pairs of sensor locations $\mathbf{x}_n, \mathbf{x}_{n'}$ and frequencies ω, ω' ,

$$(2.11) \quad \begin{aligned} \mathcal{I}_{\text{CINT}}(\mathbf{y}^S) &= \frac{1}{(2\pi)^2} \sum_{n, n'=0}^N \int_{\mathbb{R}} d\omega \int_{\mathbb{R}} d\omega' \overline{\widehat{R}_n(\omega) \widehat{R}_{n'}(\omega')} \widehat{F}_n(\omega, \mathbf{y}^S) \overline{\widehat{F}_{n'}(\omega', \mathbf{y}^S)} \\ &\times e^{i\omega nT - i\omega' n'T} \exp\left(-\frac{|\mathbf{x}_n - \mathbf{x}_{n'}|^2}{2X^2} - \frac{(\omega - \omega')^2}{2\Omega^2}\right). \end{aligned}$$

We use Gaussian sensor offset and frequency windows for convenience in the calculations, with standard deviations X and Ω chosen by the user and accounting for the decorrelation of the wave components due to scattering, as explained in the analysis in section 4.

Note that the CINT imaging function with no windowing, i.e., with $X \gg a$ and $\Omega \gg B$, equals the SAR imaging function. This motivates taking the square of the absolute value in the definition (2.9), so that we can compare the CINT and SAR images.

2.3. The HCINT imaging function. Instead of (2.11), consider the CINT-like imaging function defined for two nearby imaging points \mathbf{y}^S and $\mathbf{y}^{S'}$, as proposed in a passive array imaging context in [3],

$$(2.12) \quad \begin{aligned} \mathcal{I}(\mathbf{y}^S, \mathbf{y}^{S'}) &= \frac{1}{(2\pi)^2} \sum_{n, n'=0}^N \int_{\mathbb{R}} d\omega \int_{\mathbb{R}} d\omega' \overline{\widehat{R}_n(\omega)} \widehat{R}_{n'}(\omega') \widehat{F}_n(\omega, \mathbf{y}^S) \overline{\widehat{F}_{n'}(\omega', \mathbf{y}^{S'})} \\ &\times e^{i\omega n T - i\omega' n' T} \exp\left(-\frac{|\mathbf{x}_n - \mathbf{x}_{n'}|^2}{2X^2} - \frac{(\omega - \omega')^2}{2\Omega^2}\right). \end{aligned}$$

We call it the “two-point CINT” imaging function and note that it is a generalization of the CINT imaging function (2.11) since we have

$$(2.13) \quad \mathcal{I}_{\text{CINT}}(\mathbf{y}^S) = \mathcal{I}(\mathbf{y}^S, \mathbf{y}^S).$$

The HCINT function is defined by the integral of (2.12) over the center locations

$$(2.14) \quad \mathcal{I}_{\text{HCINT}}(\tilde{\mathbf{y}}^S) = \int_{\mathbb{R}^2} d\mathbf{y}^S \mathcal{I}\left(\mathbf{y}^S + \frac{\tilde{\mathbf{y}}^S}{2}, \mathbf{y}^S - \frac{\tilde{\mathbf{y}}^S}{2}\right).$$

We will also use its Fourier transform

$$(2.15) \quad \begin{aligned} \widehat{\mathcal{I}}_{\text{HCINT}}(\boldsymbol{\kappa}) &= \int_{\mathbb{R}^2} d\tilde{\mathbf{y}}^S \mathcal{I}_{\text{HCINT}}(\tilde{\mathbf{y}}^S) e^{-i\boldsymbol{\kappa} \cdot \tilde{\mathbf{y}}^S} \\ &= \int_{\mathbb{R}^2} d\mathbf{y}^S \int_{\mathbb{R}^2} d\tilde{\mathbf{y}}^S \mathcal{I}\left(\mathbf{y}^S + \frac{\tilde{\mathbf{y}}^S}{2}, \mathbf{y}^S - \frac{\tilde{\mathbf{y}}^S}{2}\right) e^{-i\boldsymbol{\kappa} \cdot \tilde{\mathbf{y}}^S}. \end{aligned}$$

We will show in sections 4–5 that $\mathcal{I}_{\text{CINT}}$ gives a statistically stable but low-resolution image of the reflectivity ρ , whereas the HCINT imaging function (2.15) gives a high-resolution estimate of the modulus of the Fourier transform of ρ . This estimate can then be used in a phase retrieval algorithm [6, 7, 8, 9, 15] to get a high-resolution image of the reflectivity. The integral over the center locations in (2.14) is important to get a statistically stable method to extract this image up to a phase-retrieval step. Other methods using (2.12) could be considered, as discussed in Remark 5.1, but so far we have not found a more stable way to build the image.

When the background medium is homogeneous, the computationally efficient SAR imaging function (2.9) gives a high-resolution image of the reflectivity (see section 4.1.1), and there is no advantage in using CINT or HCINT. However, in random heterogeneous backgrounds the SAR imaging function loses resolution and stability (see section 4.1.2). CINT is more computationally intensive than conventional SAR, but it gives a stable (although low-resolution)

image of ρ in random media. HCINT is more computationally intensive than CINT, but it gives a stable and high-resolution image. To control the computational cost, CINT and HCINT can be used in a two step procedure, as follows: The first step uses the CINT imaging function (2.11) to detect the reflectivity and obtain a low-resolution estimate of its support. This is a small subset of the imaging domain, called a zoom region because at step 2 we use the HCINT imaging function (2.15) to improve the resolution in this region. The integrals over \mathbf{y}^S and $\tilde{\mathbf{y}}^S$ in the expression (2.15) can be evaluated with numerical quadrature formulas in such a small zoom region. Suppose that in the first step the search domain is discretized in M_1 pixels of size determined by the CINT resolution. Then, if the computational cost of the CINT function for one imaging point counts for one, the cost of the first step is M_1 . In the second step, suppose that a zoom region identified from the CINT image is discretized in M_2 pixels. Then, the computational cost of the HCINT function is M_2^2 . This count neglects the cost of the phase retrieval algorithm, because we use an off-the-shelf method, without trying to make it computationally optimal or efficient. Our goal in this paper is to show that the information required to build a high-resolution image of the reflectivity is available in the data recorded in a randomly heterogeneous medium and that this can be achieved via the CINT and HCINT two step procedure.

3. Random travel time model. In this section we briefly review the geometrical optics model of wave propagation through the random medium with wave speed $c[1 + \sigma\mu(\mathbf{x}/\ell_c)]^{-1/2}$. Its derivation is given in [10, section 12.1] under the high-frequency scaling assumption $\lambda_o \ll \ell_c < L$ and the weak fluctuations assumption $\sigma^2 \ll (\ell_c/L)^3$, so that scattering does not affect the amplitude of the wave and the rays remain straight. It is only the travel time calculated along the straight ray that is randomized. We are interested in a long range $L \gg \ell_c$, where the random travel time fluctuations have Gaussian statistics (even if μ is not Gaussian), by the central limit theorem. To showcase the effect of the random medium, we assume that these fluctuations are large (wave front is strongly distorted), which amounts to having

$$(3.1) \quad \sigma^2 \frac{L^3}{\ell_c^3} \ll \frac{\lambda_o^2}{\sigma^2 \ell_c L} \ll 1.$$

The Green's function is

$$(3.2) \quad \widehat{G}_\mu(\omega, \mathbf{x}, \mathbf{y}) \approx \widehat{G}(\omega, \mathbf{x}, \mathbf{y}) \exp(i\omega \mathcal{T}_\mu(\mathbf{x}, \mathbf{y})),$$

where \widehat{G} is given in (2.8) and

$$(3.3) \quad \mathcal{T}_\mu(\mathbf{x}, \mathbf{y}) = \frac{\sigma |\mathbf{x} - \mathbf{y}|}{2c} \int_0^1 dh \mu\left(\frac{\mathbf{y} + h(\mathbf{x} - \mathbf{y})}{\ell_c}\right)$$

models the random fluctuations of the travel time, given by the line integral of the random process μ along the straight ray connecting \mathbf{x} and \mathbf{y} . For points \mathbf{y}, \mathbf{y}' in the neighborhood of the origin, satisfying $|\mathbf{y} - \mathbf{y}'| < \ell_c$, and for $\mathbf{x}_n, \mathbf{x}_{n'}$ in the aperture, the process \mathcal{T}_μ has Gaussian statistics with mean zero and covariance function

$$(3.4) \quad \mathbb{E}[\mathcal{T}_\mu(\mathbf{x}_n, \mathbf{y}) \mathcal{T}_\mu(\mathbf{x}_{n'}, \mathbf{y}')] = \tau^2 \mathcal{C}\left(\frac{|\mathbf{x}_n - \mathbf{x}_{n'}|}{\ell_c}\right), \quad \mathcal{C}(r) = \frac{1}{r} \int_0^r dh e^{-\pi h^2}.$$

Here we used the assumption (2.5) and introduced the time scale

$$(3.5) \quad \tau = \frac{\sigma\sqrt{\ell_c L}}{2c},$$

which quantifies the standard deviation of the random fluctuations of the travel time. Note that

$$(3.6) \quad \omega\tau \sim \omega_o\tau \gg 1,$$

by the assumption (3.1), so the phase of the Green's function (3.2) has very large fluctuations.

For arbitrary four points $(\mathbf{x}_{n_j})_{j=1,\dots,4}$ in the aperture, indexed by $0 \leq n_j \leq N$, four points $(\mathbf{y}_j)_{j=1,\dots,4}$ in the search (imaging) region \mathcal{D} with diameter smaller than ℓ_c , and for frequencies $(\omega_j)_{j=1,\dots,4}$, we have by the Gaussian property of \mathcal{T}_μ that

$$(3.7) \quad \mathbb{E}[\exp(2i\omega_1\mathcal{T}_\mu(\mathbf{x}_{n_1}, \mathbf{y}_1))] = \exp(-2\omega_1^2\tau^2),$$

$$(3.8) \quad \mathbb{E}[\exp(2i\omega_1\mathcal{T}_\mu(\mathbf{x}_{n_1}, \mathbf{y}_1) - 2i\omega_2\mathcal{T}_\mu(\mathbf{x}_{n_2}, \mathbf{y}_2))] = \exp\left\{-2(\omega_1 - \omega_2)^2\tau^2 - 4\omega_1\omega_2\tau^2\left[1 - \mathcal{C}\left(\frac{|\mathbf{x}_{n_1} - \mathbf{x}_{n_2}|}{\ell_c}\right)\right]\right\}$$

and

$$(3.9) \quad \begin{aligned} & \mathbb{E}[\exp(2i\omega_1\mathcal{T}_\mu(\mathbf{x}_{n_1}, \mathbf{y}_1) - 2i\omega_2\mathcal{T}_\mu(\mathbf{x}_{n_2}, \mathbf{y}_2) - 2i\omega_3\mathcal{T}_\mu(\mathbf{x}_{n_3}, \mathbf{y}_3) + 2i\omega_4\mathcal{T}_\mu(\mathbf{x}_{n_4}, \mathbf{y}_4))] \\ &= \exp\left\{-2\tau^2\left[\sum_{j=1}^4 \omega_j^2 + 2\omega_1\omega_4\mathcal{C}\left(\frac{|\mathbf{x}_{n_1} - \mathbf{x}_{n_4}|}{\ell_c}\right) + 2\omega_2\omega_3\mathcal{C}\left(\frac{|\mathbf{x}_{n_2} - \mathbf{x}_{n_3}|}{\ell_c}\right) - 2\omega_1\omega_2\mathcal{C}\left(\frac{|\mathbf{x}_{n_1} - \mathbf{x}_{n_2}|}{\ell_c}\right) - 2\omega_1\omega_3\mathcal{C}\left(\frac{|\mathbf{x}_{n_1} - \mathbf{x}_{n_3}|}{\ell_c}\right) - 2\omega_2\omega_4\mathcal{C}\left(\frac{|\mathbf{x}_{n_2} - \mathbf{x}_{n_4}|}{\ell_c}\right) - 2\omega_3\omega_4\mathcal{C}\left(\frac{|\mathbf{x}_{n_3} - \mathbf{x}_{n_4}|}{\ell_c}\right)\right]\right\}. \end{aligned}$$

We conclude from (3.2), (3.6), and (3.7) that

$$(3.10) \quad \mathbb{E}[\widehat{G}_\mu^2(\omega, \mathbf{y}_j, \mathbf{x}_{n_j})] \approx 0, \quad j = 1, \dots, 4.$$

Physically, this means that the wave front is strongly distorted (randomized) due to scattering, so that averaging the Green's function over realizations of the wave speed gives a negligible result. We also get from (3.8) and the assumption on the bandwidth

$$|\omega_{1,2} - \omega_o| \sim B \ll \omega_o$$

that the second moments (3.9) are negligible unless \mathbf{x}_{n_1} and \mathbf{x}_{n_2} are nearby. For such points we can expand the covariance in the phase of (3.8) around the origin and obtain the simpler formula

$$(3.11) \quad \begin{aligned} \mathbb{E}[\widehat{G}_\mu^2(\omega_1, \mathbf{y}_1, \mathbf{x}_{n_1})\overline{\widehat{G}_\mu^2(\omega_2, \mathbf{y}_2, \mathbf{x}_{n_2})}] &\approx \widehat{G}^2(\omega_1, \mathbf{y}_1, \mathbf{x}_{n_1})\overline{\widehat{G}^2(\omega_2, \mathbf{y}_2, \mathbf{x}_{n_2})} \\ &\times \exp\left[-\frac{|\mathbf{x}_{n_1} - \mathbf{x}_{n_2}|^2}{2X_d^2} - \frac{(\omega_1 - \omega_2)^2}{2\Omega_d^2}\right]. \end{aligned}$$

The scales of decay in the sensor and frequency offsets

$$(3.12) \quad X_d = \frac{\sqrt{3}\lambda_o\sqrt{\ell_c}}{(2\pi)^{3/2}\sigma\sqrt{L}}, \quad \Omega_d = \frac{1}{2\tau} = \frac{c}{\sigma\sqrt{\ell_c L}}$$

quantify the statistical decorrelation of the wave in the random medium and are called the decoherence length and decoherence frequency.

4. Analysis of the imaging functions. We now use the random travel time model of wave propagation to analyze the three imaging functions given in sections 2.1–2.3. We compare the results to those in the reference homogeneous medium and account for the effects of the additive noise, as well.

In the analysis we choose a probing pulse with Gaussian envelope

$$(4.1) \quad s(t) = e^{-i\omega_o t} \frac{B}{\sqrt{2\pi}} \exp\left(-\frac{B^2 t^2}{2}\right)$$

and with Fourier transform

$$(4.2) \quad \widehat{s}(\omega) = \int_{\mathbb{R}} dt s(t) e^{i\omega t} = \exp\left[-\frac{(\omega - \omega_o)^2}{2B^2}\right].$$

The successive positions of the sensor are close to each other,

$$|\mathbf{x}_{n+1} - \mathbf{x}_n| = \frac{a}{N} \ll a, \quad n = 0, \dots, N-1,$$

so we approximate the sums over the index n of \mathbf{x}_n by integrals over the aperture and take the Gaussian apodization $\exp(-x_{\perp}^2/a^2)$:

$$(4.3) \quad \sum_{n=0}^N \rightsquigarrow \int_{\mathbb{R}} dx_{\perp} e^{-x_{\perp}^2/a^2}.$$

The aperture size a is assumed smaller than the range L , so we can use the paraxial approximation

$$(4.4) \quad |\mathbf{x} - \mathbf{y}^S| \approx L - y_{\parallel}^S + \frac{(x_{\perp} - y_{\perp}^S)^2}{2L}$$

for all points $\mathbf{x} = (L, x_{\perp})$ in the aperture and points $\mathbf{y}^S = (y_{\parallel}^S, y_{\perp}^S)$ in the imaging region \mathcal{D} . These choices lead to explicit expressions of the imaging functions but do not play an essential role in the conclusions.

4.1. Analysis of the SAR imaging function. The index n is no longer needed in the continuum aperture approximation (4.3), so we change slightly the notation:

$$\widehat{R}_n(\omega) e^{-i\omega n T} \rightsquigarrow \widehat{R}(\omega, x_{\perp}), \quad \widehat{F}_n(\omega, \mathbf{y}^S) \rightsquigarrow \widehat{F}(\omega, x_{\perp}, \mathbf{y}^S), \quad \widehat{W}_n(\omega) \rightsquigarrow \widehat{W}(\omega, x_{\perp}).$$

From now on, $W(t, x_{\perp})$ is a Gaussian process, white in time t and in space x_{\perp} , with mean zero and covariance given in the frequency domain by

$$(4.5) \quad \mathbb{E}[\widehat{W}(\omega, x_{\perp}) \widehat{W}(\omega', x'_{\perp})] = \sigma_W^2 \delta(\omega - \omega') \delta(x_{\perp} - x'_{\perp}).$$

The expression (2.9) of the imaging function becomes

$$(4.6) \quad \mathcal{I}_{\text{SAR}}(\mathbf{y}^S) = \left| \frac{1}{2\pi} \int_{\mathbb{R}} d\omega \int_{\mathbb{R}} dx_{\perp} \widehat{R}(\omega, x_{\perp}) \overline{\widehat{F}(\omega, x_{\perp}, \mathbf{y}^S)} e^{-x_{\perp}^2/a^2} \right|^2$$

with

$$(4.7) \quad \widehat{R}(\omega, x_{\perp}) = \widehat{s}(\omega) k^2 \int_{\mathbb{R}^2} d\mathbf{y} \rho(\mathbf{y}) \widehat{G}_{\mu}^2(\omega, \mathbf{y}, (L, x_{\perp})) + \widehat{W}(\omega, x_{\perp})$$

and

$$(4.8) \quad \widehat{F}(\omega, x_{\perp}, \mathbf{y}^S) = \widehat{s}(\omega) \widehat{G}^2(\omega, \mathbf{y}^S, (L, x_{\perp})), \quad \widehat{s}(\omega) = \exp \left[-\frac{(\omega - \omega_o)^2}{2B^2} \right].$$

4.1.1. Homogeneous medium. In the absence of the fluctuations of the wave speed and noise, the expression of the imaging function (4.6) would be

$$(4.9) \quad \mathcal{I}_{\text{SAR}}(\mathbf{y}^S) = C \left| \int_{\mathbb{R}^2} d\mathbf{y} \rho(\mathbf{y}) \mathcal{K}_{a,B}(\mathbf{y}^S - \mathbf{y}) \right|^2, \quad C = \frac{1}{2^{14} \pi^6 L^4},$$

where $k_o = \omega_o/c = 2\pi/\lambda_o$ and

$$(4.10) \quad \mathcal{K}_{a,B}(\mathbf{y}) = \pi a B \exp \left[-\frac{y_{\perp}^2}{[L/(k_o a)]^2} - \frac{y_{\parallel}^2}{(c/B)^2} - 2ik_o y_{\parallel} \right].$$

This is derived in Appendix A.1 from (2.8), (4.6–4.8), and the paraxial approximation (4.4).

In the particular case of a point reflector at location \mathbf{y}_{\star} , the imaging function is proportional to the square modulus of the “point-spread function” $\mathcal{K}_{a,B}$,

$$(4.11) \quad \mathcal{I}_{\text{SAR}}(\mathbf{y}^S) = C \rho_{\star}^2 |\mathcal{K}_{a,B}(\mathbf{y}^S - \mathbf{y}_{\star})|^2 \quad \text{for } \rho(\mathbf{y}) = \rho_{\star} \delta(\mathbf{y} - \mathbf{y}_{\star}).$$

This and the expression (4.10) show that the resolution in the cross-range direction is $\sim \lambda_o L/a$ and in the range direction is $\sim c/B$, as reported in the literature [4].

4.1.2. Random medium. To explain the behavior of the SAR imaging function in the random medium, we describe here its expectation and covariance in the noiseless case (i.e., when there is no additive noise). The effect of the additive noise is analyzed in the next section.

We derive in Appendix A.3, from (2.8), (4.4–4.8), the moment formula (3.11), and the assumption $a < \ell_c$, the following expression of the mean SAR imaging function:

$$(4.12) \quad \mathbb{E}[\mathcal{I}_{\text{SAR}}(\mathbf{y}^S)] = \frac{CaB}{\widetilde{a}\widetilde{B}} \int_{\mathbb{R}^2} d\mathbf{y} \int_{\mathbb{R}^2} d\mathbf{y}' \rho(\mathbf{y}) \rho(\mathbf{y}') \mathcal{K}_{\widetilde{a},\widetilde{B}}(\mathbf{y}^S - \mathbf{y}) \overline{\mathcal{K}_{\widetilde{a},\widetilde{B}}(\mathbf{y}^S - \mathbf{y}')}$$

$$\times \exp \left[-\frac{B^2(y'_{\parallel} - y_{\parallel})^2}{2\Omega_d^2(c/\widetilde{B})^2} - \frac{a^2(y'_{\perp} - y_{\perp})^2}{2X_d^2[L/(k_o\widetilde{a})]^2} \right],$$

where C is the same constant as in (4.9), and \tilde{a} and \tilde{B} are defined by

$$(4.13) \quad \frac{1}{\tilde{a}^2} = \frac{1}{a^2} + \frac{1}{X_d^2}, \quad \frac{1}{\tilde{B}^2} = \frac{1}{B^2} + \frac{1}{\Omega_d^2}.$$

The case $a \geq \ell_c$ can be considered as well, at the expense of a slightly more complicated expression of $\mathbb{E}[\mathcal{I}_{\text{SAR}}(\mathbf{y}^S)]$. Since this case does not bring additional insight, we continue with the assumption $a < \ell_c$ which gives simpler formulas.

If the random medium is strong enough so that the decoherence parameters (3.12) satisfy $X_d < a$ and/or $\Omega_d < B$, we obtain from (4.12) that the amplitude of expectation of the imaging function is reduced and there is loss of resolution. This is evident in the case of a single point scatterer, where

$$(4.14) \quad \mathbb{E}[\mathcal{I}_{\text{SAR}}(\mathbf{y}^S)] = \frac{CaB}{\tilde{a}\tilde{B}} \rho_*^2 |\mathcal{K}_{\tilde{a},\tilde{B}}(\mathbf{y}^S - \mathbf{y}_*)|^2 \quad \text{for } \rho(\mathbf{y}) = \rho_* \delta(\mathbf{y} - \mathbf{y}_*).$$

Comparing with (4.11) and using the definition (4.10), we note that the peak amplitude of (4.14) is smaller by the factor $(\tilde{a}/a)(\tilde{B}/B)$ and the resolution is reduced to $\lambda_o L/\tilde{a}$ and c/\tilde{B} in the cross-range and range direction. These resolution limits are the scales of decay of the kernel $\mathcal{K}_{\tilde{a},\tilde{B}}$ defined in (4.10), with a and B replaced by \tilde{a} and \tilde{B} .

The calculation of the covariance of \mathcal{I}_{SAR} involves the fourth order moments (3.9) for distinct points and frequencies satisfying

$$\begin{aligned} \omega_1 &= \omega + \frac{\tilde{\omega}}{2}, & \omega_2 &= \omega - \frac{\tilde{\omega}}{2}, & \omega_3 &= \omega' + \frac{\tilde{\omega}'}{2}, & \omega_4 &= \omega' - \frac{\tilde{\omega}'}{2}, \\ \mathbf{x}_1 &= \mathbf{x} + \frac{\tilde{\mathbf{x}}}{2}, & \mathbf{x}_2 &= \mathbf{x} - \frac{\tilde{\mathbf{x}}}{2}, & \mathbf{x}_3 &= \mathbf{x}' + \frac{\tilde{\mathbf{x}}'}{2}, & \mathbf{x}_4 &= \mathbf{x}' - \frac{\tilde{\mathbf{x}}'}{2}. \end{aligned}$$

Here we introduced the center frequencies $\omega, \omega' \sim \omega_o$ and the frequency offsets which satisfy $|\tilde{\omega}|, |\tilde{\omega}'| \lesssim 2B$. Similarly, \mathbf{x}, \mathbf{x}' are center points in the aperture, i.e., with range coordinate L and cross-range coordinates in the interval $(-a/2, a/2)$. The spatial offsets are $\tilde{\mathbf{x}} = (0, \tilde{x}_\perp)$ and $\tilde{\mathbf{x}}' = (0, \tilde{x}'_\perp)$ with $|\tilde{x}_\perp|, |\tilde{x}'_\perp| \lesssim a$.

If the diameter of the search region \mathcal{D} is smaller than ℓ_c and if $X_d < a < \ell_c$ and $\Omega_d < B$, then we find the covariance

$$\begin{aligned} \text{Cov}(\mathcal{I}_{\text{SAR}}(\mathbf{y}^S), \mathcal{I}_{\text{SAR}}(\mathbf{y}^{S'})) &= \left(\frac{CaB}{\tilde{a}\tilde{B}} \right)^2 \left| \int_{\mathbb{R}^2} d\mathbf{y} \int_{\mathbb{R}^2} d\mathbf{y}' \rho(\mathbf{y}) \rho(\mathbf{y}') \mathcal{K}_{\tilde{a},\tilde{B}}(\mathbf{y}^S - \mathbf{y}) \right. \\ &\quad \times \overline{\mathcal{K}_{\tilde{a},\tilde{B}}(\mathbf{y}^{S'} - \mathbf{y}')} \exp \left[-\frac{B^2(y'_\parallel - y_\parallel - y_\parallel^S + y_\parallel^{S'})^2}{2\Omega_d^2(c/\tilde{B})^2} - \frac{a^2(y'_\perp - y_\perp - y_\perp^S + y_\perp^{S'})^2}{2X_d^2[L/(k_o\tilde{a})]^2} \right] \Big|^2. \end{aligned}$$

This indicates that the image displays bright and dark spots, so-called speckle of size of the order of the correlation radius $\lambda_o L/\tilde{a}$ in the cross-range direction and c/\tilde{B} in the range direction, the scales of decay of the kernel $\mathcal{K}_{\tilde{a},\tilde{B}}$. Moreover, if we let $\mathbf{y}^S = \mathbf{y}^{S'}$ in this expression, we obtain that the variance is equal to the square mean:

$$(4.15) \quad \text{Var}(\mathcal{I}_{\text{SAR}}(\mathbf{y}^S)) = \mathbb{E}[\mathcal{I}_{\text{SAR}}(\mathbf{y}^S)]^2.$$

Therefore, the coefficient of variation (i.e., the ratio of the standard deviation over the mean) of the SAR image near its peak values is large,

$$(4.16) \quad \mathcal{V}_{\text{SAR}}(\mathbf{y}^S) = \frac{\sqrt{\text{Var}(\mathcal{I}_{\text{SAR}}(\mathbf{y}^S))}}{\mathbb{E}[\mathcal{I}_{\text{SAR}}(\mathbf{y}^S)]} = 1,$$

i.e., the SAR image has strong random fluctuations; it is not statistically stable.

4.1.3. Additive noise. The presence of the Gaussian additive noise introduces an additional speckle pattern in the image, modeled by

$$(4.17) \quad \mathcal{I}_{\text{SAR,W}}(\mathbf{y}^S) = \left| \frac{1}{2\pi} \int_{\mathbb{R}} d\omega \int_{\mathbb{R}} dx_{\perp} \widehat{W}(\omega, x_{\perp}) \overline{\widehat{F}(\omega, x_{\perp}, \mathbf{y}^S)} e^{-x_{\perp}^2/a^2} \right|^2.$$

This is independent of the fluctuations of the wave speed in the random medium. We now describe the mean and correlation radius of (4.17), where the latter gives the typical noise induced speckle size.

We show in Appendix A.2, using definitions (4.5), (2.8), (2.10) and the paraxial approximation (4.4), that the mean of the speckle pattern is uniform,

$$(4.18) \quad \mathbb{E}[\mathcal{I}_{\text{SAR,W}}(\mathbf{y}^S)] = C_W, \quad C_W = \frac{\sigma_W^2 a B}{2^{17/2} \pi^3 k_o^2 L^2},$$

and the covariance is

$$(4.19) \quad \text{Cov}(\mathcal{I}_{\text{SAR,W}}(\mathbf{y}^S), \mathcal{I}_{\text{SAR,W}}(\mathbf{y}^{S'})) = C_W^2 \exp \left[-\frac{2(y_{\parallel}^S - y_{\parallel}^{S'})^2}{(c/B)^2} - \frac{(y_{\perp}^S - y_{\perp}^{S'})^2}{[L/(k_o a)]^2} \right],$$

where we have used the fourth moment property satisfied by the Gaussian process

$$(4.20) \quad \begin{aligned} & \mathbb{E}[\overline{\widehat{W}(\omega, x_{\perp})} \widehat{W}(\omega', x'_{\perp}) \overline{\widehat{W}(\tilde{\omega}, \tilde{x}_{\perp})} \widehat{W}(\tilde{\omega}', \tilde{x}'_{\perp})] \\ &= \sigma_W^4 [\delta(\omega - \omega') \delta(x_{\perp} - x'_{\perp}) \delta(\tilde{\omega} - \tilde{\omega}') \delta(\tilde{x}_{\perp} - \tilde{x}'_{\perp}) \\ &+ \delta(\omega - \tilde{\omega}) \delta(x_{\perp} - \tilde{x}_{\perp}) \delta(\omega' - \tilde{\omega}') \delta(x'_{\perp} - \tilde{x}'_{\perp})]. \end{aligned}$$

By letting $\mathbf{y}^S = \mathbf{y}^{S'}$ in the last equation we obtain the variance

$$(4.21) \quad \text{Var}(\mathcal{I}_{\text{SAR,W}}(\mathbf{y}^S)) = C_W^2.$$

The decay of the covariance (4.19) shows that the noise induced speckle pattern has correlation radius $\lambda_o L/a$ in the cross range direction and c/B in the range direction, which means that the image displays bright and dark spots with these typical sizes. This is in addition to the random fluctuations induced by scattering in the random medium.

4.2. Analysis of the two-point CINT imaging function. We now describe the mean and variance of the two-point CINT imaging function:

$$(4.22) \quad \begin{aligned} \mathcal{I}(\mathbf{y}^S, \mathbf{y}^{S'}) &= \frac{1}{(2\pi)^2} \int_{\mathbb{R}} d\omega \int_{\mathbb{R}} d\omega' \int_{\mathbb{R}} dx_{\perp} \int_{\mathbb{R}} dx'_{\perp} \overline{\widehat{R}(\omega, x_{\perp})} \widehat{R}(\omega', x'_{\perp}) \widehat{F}(\omega, x_{\perp}, \mathbf{y}^S) \\ &\times \overline{\widehat{F}(\omega', x'_{\perp}, \mathbf{y}^{S'})} \exp \left[-\frac{(x_{\perp} - x'_{\perp})^2}{2X^2} - \frac{(\omega - \omega')^2}{2\Omega^2} - \frac{x_{\perp}^2 + (x'_{\perp})^2}{a^2} \right], \end{aligned}$$

which is related to CINT by (2.13). Its use in the HCINT imaging method is discussed in section 5.

4.2.1. The mean. The expression of the mean is obtained from definitions (2.12), (2.8), (2.10), and (4.4)–(4.8). The calculation is very similar to that in Appendix A.3.

We obtain that in the noiseless case

$$(4.23) \quad \mathbb{E}[\mathcal{I}(\mathbf{y}^S, \mathbf{y}^{S'})] = C \int_{\mathbb{R}^2} d\mathbf{y} \int_{\mathbb{R}^2} d\mathbf{y}' \rho(\mathbf{y})\rho(\mathbf{y}') \mathcal{K}_{\tilde{X}, \tilde{\Omega}}^{(1)} \left(\frac{\mathbf{y}^S + \mathbf{y}^{S'}}{2} - \frac{\mathbf{y} + \mathbf{y}'}{2} \right) \\ \times \mathcal{K}_{a,B}^{(2)}((\mathbf{y}^S - \mathbf{y}^{S'}) - (\mathbf{y} - \mathbf{y}'))$$

with the same constant C as in (4.9) and with kernels

$$(4.24) \quad \mathcal{K}_{\tilde{X}, \tilde{\Omega}}^{(1)}(\mathbf{y}) = \pi \tilde{X} \tilde{\Omega} \exp \left[-\frac{2y_{\perp}^2}{[L/(k_o \tilde{X})]^2} - \frac{2y_{\parallel}^2}{(c/\tilde{\Omega})^2} \right],$$

$$(4.25) \quad \mathcal{K}_{a,B}^{(2)}(\mathbf{y}) = \pi a B \exp \left[-\frac{y_{\perp}^2}{2[L/(k_o a)]^2} - \frac{y_{\parallel}^2}{2(c/B)^2} - 2ik_o y_{\parallel} \right].$$

The first kernel gives the resolution in the central spatial variable, modeled by the decay of (4.24) on the scale $\lambda_o L/\tilde{X}$ in the cross-range direction and $c/\tilde{\Omega}$ in the range direction. If the medium were homogeneous, \tilde{X} and $\tilde{\Omega}$ would depend on the window parameters X and Ω in the definition (2.12) of $\mathcal{I}(\mathbf{y}^S, \mathbf{y}^{S'})$, the aperture a , and the bandwidth B of the probing pulse as follows:

$$(4.26) \quad \frac{1}{\tilde{\Omega}^2} = \frac{1}{\Omega^2} + \frac{1}{B^2}, \quad \frac{1}{\tilde{X}^2} = \frac{1}{X^2} + \frac{1}{a^2}.$$

In the random medium, they also depend on the decoherence length X_d and frequency Ω_d defined in (3.12),

$$(4.27) \quad \frac{1}{\tilde{\Omega}^2} = \frac{1}{\Omega_d^2} + \frac{1}{\Omega^2} + \frac{1}{B^2}, \quad \frac{1}{\tilde{X}^2} = \frac{1}{X_d^2} + \frac{1}{X^2} + \frac{1}{a^2}.$$

The second kernel (4.25) gives the resolution in the spatial offset, modeled by the decay on the scale $\lambda_o L/a$ in the cross-range direction and c/B in the range direction. These scales coincide with the resolution limits of the SAR imaging function in the homogeneous medium.

We conclude that the mean of the two-point CINT image displays excellent resolution in the spatial offset locations $\mathbf{y}^S - \mathbf{y}^{S'}$ and reduced resolution in the midpoint locations $(\mathbf{y}^S + \mathbf{y}^{S'})/2$. The latter is the same as the resolution of the CINT imaging function, obtained from (2.13) by setting $\mathbf{y}^S = \mathbf{y}^{S'}$ in (4.23),

$$(4.28) \quad \mathbb{E}[\mathcal{I}_{\text{CINT}}(\mathbf{y}^S)] = C \int_{\mathbb{R}^2} d\mathbf{y} \int_{\mathbb{R}^2} d\mathbf{y}' \rho(\mathbf{y})\rho(\mathbf{y}') \mathcal{K}_{\tilde{X}, \tilde{\Omega}}^{(1)} \left(\mathbf{y}^S - \frac{\mathbf{y} + \mathbf{y}'}{2} \right) \mathcal{K}_{a,B}^{(2)}(\mathbf{y} - \mathbf{y}').$$

In the particular case of a single point scatterer, the CINT imaging function has the simple expression

$$(4.29) \quad \mathbb{E}[\mathcal{I}_{\text{CINT}}(\mathbf{y}^S)] = C\pi a B \rho_{\star}^2 \mathcal{K}_{\tilde{X}, \tilde{\Omega}}^{(1)}(\mathbf{y}^S - \mathbf{y}_{\star}) \quad \text{for } \rho(\mathbf{y}) = \rho_{\star} \delta(\mathbf{y} - \mathbf{y}_{\star}),$$

and its resolution can be compared easily to that of the mean SAR image in (4.14). We note in particular that the smaller the window parameter Ω and X are, the worse the resolution of CINT is. We show in the next section that to ensure the statistical stability of the two-point CINT (and therefore of the CINT) image, the window parameters should satisfy

$$(4.30) \quad X \lesssim X_d, \quad \Omega \lesssim \Omega_d.$$

If scattering in the random medium is so weak that the wave components remain correlated across the aperture and bandwidth, in the sense that $X_d > a$ and $\Omega_d > B$, then we can remove the windowing in (2.12) to obtain

$$\mathbb{E}[\mathcal{I}(\mathbf{y}^S, \mathbf{y}^{S'})]^2 \simeq \mathbb{E}[\mathcal{I}_{\text{SAR}}(\mathbf{y}^S)]\mathbb{E}[\mathcal{I}_{\text{SAR}}(\mathbf{y}^{S'})],$$

and $\mathbb{E}[\mathcal{I}_{\text{SAR}}(\mathbf{y}^S)]$ is approximately given by its expression (4.9) in homogeneous medium.

We are interested in strong scattering in the random medium, where $X_d \ll a$ and $\Omega_d \ll B$ and where the windowing in (2.12) is needed. The CINT image is then much blurrier than what the SAR image gives in homogeneous medium. This is the cost of statistical stability, as explained next.

4.2.2. The variance. The calculation of the variance of the two-point CINT imaging function is similar to that in Appendix A.3, except that it uses the fourth order moment formula (3.9) for points and frequencies satisfying

$$\begin{aligned} \omega_1 &= \omega + \frac{\tilde{\omega}}{2}, & \omega_2 &= \omega - \frac{\tilde{\omega}}{2}, & \omega_3 &= \omega' + \frac{\tilde{\omega}'}{2}, & \omega_4 &= \omega' - \frac{\tilde{\omega}'}{2}, \\ \mathbf{x}_1 &= \mathbf{x} + \frac{\tilde{\mathbf{x}}}{2}, & \mathbf{x}_2 &= \mathbf{x} - \frac{\tilde{\mathbf{x}}}{2}, & \mathbf{x}_3 &= \mathbf{x}' + \frac{\tilde{\mathbf{x}}'}{2}, & \mathbf{x}_4 &= \mathbf{x}' - \frac{\tilde{\mathbf{x}}'}{2}. \end{aligned}$$

The center frequencies are $\omega, \omega' \sim \omega_o$, and the frequency offsets satisfy $|\tilde{\omega}|, |\tilde{\omega}'| \lesssim \Omega$. Similarly, \mathbf{x}, \mathbf{x}' are center points in the aperture, i.e., with range coordinate L and cross-range coordinates of the order of a , and the spatial offsets $\tilde{\mathbf{x}} = (0, \tilde{x}_\perp)$ and $\tilde{\mathbf{x}}' = (0, \tilde{x}'_\perp)$ satisfy $|\tilde{x}_\perp|, |\tilde{x}'_\perp| \lesssim 2X$. We are interested in the choice (4.30) of the window parameters, where the two-point CINT imaging function is statistically stable, as shown below. Definitions (3.12), (3.5) and the assumption (3.6) give that $X < X_d \ll \ell_c$, and after long but straightforward calculations we obtain that in this regime the variance takes the simple form

$$(4.31) \quad \text{Var}(\mathcal{I}(\mathbf{y}^S, \mathbf{y}^{S'})) = O\left(\frac{X^2}{X_d^2} + \frac{\Omega^2}{\Omega_d^2}\right)\mathbb{E}[\mathcal{I}(\mathbf{y}^S, \mathbf{y}^{S'})]^2.$$

We conclude that the two-point CINT imaging function gives statistically stable results when the window parameters satisfy the relation (4.30) because then the coefficient of variation is smaller than one:

$$(4.32) \quad \mathcal{V}(\mathbf{y}^S, \mathbf{y}^{S'}) = \frac{\sqrt{\text{Var}(\mathcal{I}(\mathbf{y}^S, \mathbf{y}^{S'}))}}{\mathbb{E}[\mathcal{I}(\mathbf{y}^S, \mathbf{y}^{S'})]} < 1.$$

The optimal choice of the window parameters reflects the trade-off between the stability and resolution and corresponds to $X \lesssim X_d$ and $\Omega \lesssim \Omega_d$, as stated in [1, 2].

Remark 4.1. We assumed a search (imaging) region \mathcal{D} of radius less than ℓ_c in order to simplify the expressions of the second- and fourth-order moments of the Green's function, and therefore the mean and variance of the two-point CINT imaging function. We have seen that the reflectivity function can be localized and imaged by CINT at the scales $\lambda_o L/X$ and c_o/Ω in the cross-range and range directions. This gives a consistent and relevant result because the second condition in (3.1) and definition (3.12) ensure that with $X \sim X_d$ and $\Omega \sim \Omega_d$ we have $\lambda_o L/X \ll \ell_c$ and $c/\Omega \ll \ell_c$.

4.2.3. Additive noise. The effect of the additive noise on the two-point CINT imaging function is modeled by the expression

$$(4.33) \quad \begin{aligned} \mathcal{I}_W(\mathbf{y}^S, \mathbf{y}^{S'}) &= \frac{1}{(2\pi)^2} \int_{\mathbb{R}} d\omega \int_{\mathbb{R}} d\omega' \int_{\mathbb{R}} dx_{\perp} \int_{\mathbb{R}} dx'_{\perp} \overline{\widehat{W}(\omega, x_{\perp})} \widehat{W}(\omega', x'_{\perp}) \widehat{F}(\omega, x_{\perp}, \mathbf{y}^S) \\ &\times \widehat{F}(\omega', x'_{\perp}, \mathbf{y}^{S'}) \exp \left[-\frac{(x_{\perp} - x'_{\perp})^2}{2X^2} - \frac{(\omega - \omega')^2}{2\Omega^2} - \frac{x_{\perp}^2 + (x'_{\perp})^2}{a^2} \right]. \end{aligned}$$

Using definitions (4.5), (2.8), (2.10) and the paraxial approximation (4.4), we obtain the mean

$$(4.34) \quad \mathbb{E}[\mathcal{I}_W(\mathbf{y}^S, \mathbf{y}^{S'})] = C_W \exp \left[-\frac{(y_{\parallel}^S - y_{\parallel}^{S'})^2}{(c/B)^2} - \frac{(y_{\perp}^S - y_{\perp}^{S'})^2}{2[L/(k_o a)]^2} - 2ik_o(y_{\parallel}^S - y_{\parallel}^{S'}) \right]$$

with the same constant C_W as in (4.18). The covariance is calculated using the Gaussian property (4.20) of the noise, and the result is

$$(4.35) \quad \begin{aligned} \text{Cov}(\mathcal{I}_W(\mathbf{y}^S, \mathbf{y}^{S'}), \mathcal{I}_W(\mathbf{z}^S, \mathbf{z}^{S'})) &= C_W^2 \\ &\times \exp \left[-\frac{(y_{\parallel}^S - z_{\parallel}^S)^2}{(c/B)^2} - \frac{(y_{\perp}^S - z_{\perp}^S)^2}{2[L/(k_o a)]^2} + 2ik_o(y_{\parallel}^S - z_{\parallel}^S) \right] \\ &\times \exp \left[-\frac{(y_{\parallel}^{S'} - z_{\parallel}^{S'})^2}{(c/B)^2} - \frac{(y_{\perp}^{S'} - z_{\perp}^{S'})^2}{2[L/(k_o a)]^2} - 2ik_o(y_{\parallel}^{S'} - z_{\parallel}^{S'}) \right]. \end{aligned}$$

When we set $\mathbf{y}^S = \mathbf{y}^{S'}$ and $\mathbf{z}^S = \mathbf{z}^{S'}$ in (4.34)–(4.35) we obtain that the additive noise effect on the classic CINT image consists of speckle with uniform mean

$$(4.36) \quad \mathbb{E}[\mathcal{I}_{\text{CINT},W}(\mathbf{y}^S)] = C_W$$

and with covariance

$$(4.37) \quad \begin{aligned} \text{Cov}(\mathcal{I}_{\text{CINT},W}(\mathbf{y}^S), \mathcal{I}_{\text{CINT},W}(\mathbf{z}^S)) &= C_W^2 \\ &\times \exp \left[-\frac{2(y_{\parallel}^S - z_{\parallel}^S)^2}{(c/B)^2} - \frac{(y_{\perp}^S - z_{\perp}^S)^2}{[L/(k_o a)]^2} + 2ik_o(y_{\parallel}^S - z_{\parallel}^S) \right]. \end{aligned}$$

Therefore, the noise induced speckle size in the CINT image is of the order of $\lambda_o L/a$ in the cross-range direction and c/B in the range direction. These are smaller than the cross-range resolution $\lambda_o L/\tilde{X}$ and range resolution $c/\tilde{\Omega}$ obtained in the previous section. Thus, if the

noise is weak (i.e., C_W is small), then it does not affect the CINT image. If it is moderate, then it is possible to remove the induced speckle, up to the uniform mean, using a low-pass filter on the CINT image.

However, the noise induced speckle plays a role in the two-point CINT image, because its typical size is of the same order as the resolution in the offset spatial variables. We discuss this point further in the next section.

5. HCINT imaging. With the window parameters chosen optimally, as explained above, so that the two-point CINT image is approximated by its mean, we obtain from (4.23) and definitions (2.14)–(2.15) that the HCINT image in the noiseless case is

$$(5.1) \quad \begin{aligned} \widehat{\mathcal{I}}_{\text{HCINT}}(\boldsymbol{\kappa} - 2\boldsymbol{\kappa}_o) &\approx \mathbb{E}[\widehat{\mathcal{I}}_{\text{HCINT}}(\boldsymbol{\kappa} - 2\boldsymbol{\kappa}_o)] \\ &= C\pi^4 \left(\frac{Lc}{k_o}\right)^2 |\widehat{\rho}(\boldsymbol{\kappa} - 2\boldsymbol{\kappa}_o)|^2 \exp\left[-\frac{\kappa_{\perp}^2}{2(ak_o/L)^2} - \frac{\kappa_{\parallel}^2}{2(B/c)^2}\right], \end{aligned}$$

where $\boldsymbol{\kappa} = (\kappa_{\parallel}, \kappa_{\perp})$, $\boldsymbol{\kappa}_o = (k_o, 0)$, and $\widehat{\rho}$ is the Fourier transform of the unknown reflectivity function. Note that

$$(5.2) \quad \widehat{\rho}(\boldsymbol{\kappa} - 2\boldsymbol{\kappa}_o) = \widehat{\rho}_{k_o}(\boldsymbol{\kappa}),$$

the Fourier transform of the reflectivity ρ modulated in range at wavenumber k_o ,

$$(5.3) \quad \rho_{k_o}(\mathbf{y}) = \rho(\mathbf{y}) \exp(2ik_o y_{\parallel}).$$

Equation (5.1) shows that we can estimate $|\widehat{\rho}_{k_o}(\boldsymbol{\kappa})|$ at wave vectors $\boldsymbol{\kappa} = (\kappa_{\parallel}, \kappa_{\perp})$ with $|\kappa_{\parallel}| \lesssim B/c$ and $|\kappa_{\perp}| \lesssim a/(\lambda_o L)$. In the spatial domain, this corresponds to sampling ρ on a grid of size $\lambda_o L/a$ in the cross-range direction and c/B in the range direction. An estimate of ρ on such a grid can be obtained from (5.1) using phase retrieval, as explained in section 5.1.

Remark 5.1. In principle, there may be another way of estimating ρ from the two-point CINT image, without phase retrieval. In definition (2.14)–(2.15) of HCINT we integrate $\mathcal{I}(\mathbf{y}^S, \mathbf{y}^{S'})$ over the center points $(\mathbf{y}^S + \mathbf{y}^{S'})/2$ and then take the Fourier transform with respect to the offset $\mathbf{y}^S - \mathbf{y}^{S'}$. We could consider instead the function

$$(5.4) \quad \widehat{\mathcal{J}}(\boldsymbol{\kappa} - 2\boldsymbol{\kappa}_o, \widetilde{\boldsymbol{\kappa}}) = \int_{\mathbb{R}^2} d\mathbf{y}^S \int_{\mathbb{R}^2} d\widetilde{\mathbf{y}}^S \mathcal{I}\left(\mathbf{y}^S + \frac{\widetilde{\mathbf{y}}^S}{2}, \mathbf{y}^S - \frac{\widetilde{\mathbf{y}}^S}{2}\right) e^{-i\widetilde{\boldsymbol{\kappa}} \cdot \mathbf{y}^S - i\boldsymbol{\kappa} \cdot \widetilde{\mathbf{y}}^S}$$

and obtain from (4.23) and the definitions (4.24)–(4.25) that

$$(5.5) \quad \begin{aligned} \widehat{\mathcal{J}}(\boldsymbol{\kappa} - 2\boldsymbol{\kappa}_o, \widetilde{\boldsymbol{\kappa}}) &\approx \mathbb{E}[\widehat{\mathcal{J}}(\boldsymbol{\kappa} - 2\boldsymbol{\kappa}_o, \widetilde{\boldsymbol{\kappa}})] = \widehat{\rho}\left(\boldsymbol{\kappa} - 2\boldsymbol{\kappa}_o + \frac{\widetilde{\boldsymbol{\kappa}}}{2}\right) \overline{\widehat{\rho}\left(\boldsymbol{\kappa} - 2\boldsymbol{\kappa}_o - \frac{\widetilde{\boldsymbol{\kappa}}}{2}\right)} \\ &\times C\pi^4 \left(\frac{Lc}{k_o}\right)^2 \exp\left[-\frac{\kappa_{\perp}^2}{2(ak_o/L)^2} - \frac{\kappa_{\parallel}^2}{2(B/c)^2} - \frac{\widetilde{\kappa}_{\perp}^2}{8(\widetilde{X}k_o/L)^2} - \frac{\widetilde{\kappa}_{\parallel}^2}{8(\widetilde{\Omega}/c)^2}\right]. \end{aligned}$$

This can be used to determine the phase of $\widehat{\rho}$. For instance, from (5.5) we get

$$\arg \widehat{\mathcal{J}}(\boldsymbol{\kappa} - 2\boldsymbol{\kappa}_o, \widetilde{\boldsymbol{\kappa}}) \approx \arg \widehat{\rho}\left(\boldsymbol{\kappa} - 2\boldsymbol{\kappa}_o + \frac{\widetilde{\boldsymbol{\kappa}}}{2}\right) - \arg \widehat{\rho}\left(\boldsymbol{\kappa} - 2\boldsymbol{\kappa}_o - \frac{\widetilde{\boldsymbol{\kappa}}}{2}\right),$$

so we could estimate $\nabla_{\boldsymbol{\kappa}} \arg \widehat{\rho}(\boldsymbol{\kappa} - 2\boldsymbol{\kappa}_o)$ from $\nabla_{\widetilde{\boldsymbol{\kappa}}} \arg \widehat{\mathcal{J}}(\boldsymbol{\kappa} - 2\boldsymbol{\kappa}_o, \widetilde{\boldsymbol{\kappa}})|_{\widetilde{\boldsymbol{\kappa}}=\mathbf{0}}$ and then integrate to get the phase. Our experience is that this approach is not stable, because it requires an estimate of $\arg \widehat{\rho}$ and its gradient over the whole domain of $\boldsymbol{\kappa}$, while $\widehat{\rho}$ may be very small in some regions of this domain.

The numerical results in section 6 are based on the phase retrieval method described next.

5.1. Phase retrieval. The goal of phase retrieval [6, 7, 8, 9, 15] is to determine a complex-valued function $\eta(\mathbf{x})$ with known phase, from the given modulus of its Fourier transform $\widehat{\eta}(\boldsymbol{\kappa})$. In our framework this function is $\eta(\mathbf{x}) = \rho(\mathbf{x}) \exp(2ik_o x_{\parallel})$, where ρ is the reflectivity function. Indeed, if the reflectivity function is known to be nonnegative valued (which we assume throughout the paper), then

$$(5.6) \quad \arg(\eta(\mathbf{x})) = 2k_o x_{\parallel}.$$

Furthermore, we have

$$(5.7) \quad |\widehat{\eta}(\boldsymbol{\kappa})| = |\widehat{\rho}_{k_o}(\boldsymbol{\kappa})|, \quad \boldsymbol{\kappa} = (\kappa_{\parallel}, \kappa_{\perp}), \quad |\kappa_{\parallel}| \lesssim \frac{B}{c}, \quad |\kappa_{\perp}| \lesssim \frac{a}{\lambda_o L},$$

with $|\widehat{\rho}_{k_o}(\boldsymbol{\kappa})|$ obtained from (5.1)–(5.2). Note that the restriction of the wave vector $\boldsymbol{\kappa}$ to the domain in (5.7) ensures that the exponential in the right-hand side of (5.1) is of order one and thus can be safely inverted.

We use a phase retrieval algorithm with constraints (5.6)–(5.7). At each iteration of the algorithm, a Fourier transform of the current estimate of η is carried out and the modulus of the result is updated to match (5.7), while the higher frequency components are set to zero. An inverse Fourier transform is then carried out and the phase of the result is updated to match (5.6). The algorithm gives a good estimate η_{est} with resolution $\lambda_o L/a$ in the cross-range direction and c/B in the range direction, up to a global shift and a symmetry with respect to the origin [6, 7]. The global shift uncertainty can be compensated by the standard CINT image, with a precision given by the classic CINT resolution. That is to say, the estimated reflectivity

$$(5.8) \quad \rho_{\text{est}}(\mathbf{x}) = \eta_{\text{est}}(\mathbf{x}) \exp(-2ik_o x_{\parallel})$$

can be shifted so that its support is centered at the center of the CINT imaging function in the zoom region. This gives a high-resolution image of the reflectivity with details of high precision ($\lambda_o L/a$ and c/B in cross-range and range directions) that is centered with low precision ($\lambda_o L/X$ and c/Ω in cross-range and range directions).

Remark 5.2. One could also apply a phase retrieval algorithm with Fourier constraint (5.7) and support constraint [8] determined from the standard CINT image. In fact, it should be possible to apply the two-step approach suggested in [9] to extract a complex valued ρ from $|\widehat{\rho}_{k_o}|$ and the low-resolution standard CINT image. Moreover, if the support of ρ is small, i.e., a few points, then one can use a phase retrieval algorithm with sparsity constraints [15]. The latter is more sensitive to noise than the phase retrieval with positivity constraints used in our numerical simulations. The hypothesis about the nonnegativity of the reflectivity function is, therefore, important to get a stable method to image the reflectivity. If the reflectivity is complex-valued or changes sign, then the phase retrieval step becomes more challenging.

5.2. Additive noise. The additive noise contribution to the HCINT imaging function is, in the mean,

$$(5.9) \quad \mathbb{E}[\widehat{\mathcal{I}}_{\text{HCINT},W}(\boldsymbol{\kappa}-2\boldsymbol{\kappa}_o)] = \frac{\sqrt{2\pi}C_W|\mathcal{D}|cL}{ak_oB} \exp\left[-\frac{\kappa_{\perp}^2}{2(ak_o/L)^2} - \frac{\kappa_{\parallel}^2}{4(B/c)^2}\right],$$

where $|\mathcal{D}|$ is the area of the imaging region. Note that (5.9) peaks at $\boldsymbol{\kappa} = 0$, just as (5.1), and has similar decay. This makes it difficult to filter out the noise effect, which will impede the high-resolution imaging via phase retrieval when the additive noise is strong enough.

6. Numerical results. In this section we use numerical simulations to illustrate the performance of the HCINT imaging method. We consider a reflectivity ρ supported at four identical point-like scatterers, as in Figure 6.1. The data (1.1) are generated with the random travel time model¹ described in section 3, using a Gaussian zero-mean random process μ for the fluctuations of the wave speed, with correlation length $\ell_c = L$ and $\sigma = 0.06$. The additive noise has standard deviation σ_W equal to 0%, 20%, or 40% of the maximal amplitude of the returns. The bandwidth is $B/\omega_o = 1/5$ and $N = 60$. The window parameters of the CINT function are $\Omega = B/5$ and $X = a/5$. The imaging functions are calculated as defined in sections 2.1–2.3, and the phase retrieval is done with the simple (error-reduction) algorithm proposed in [8]. There are better phase retrieval algorithms, but this simple one was sufficient for our purpose.

The SAR imaging function gives a good estimate of the support of ρ in the absence of noise (Figure 6.2(a)). The formula (4.10) predicts cross-range resolution of the order of $L/(k_o a) \simeq 3\lambda_o/(2\pi)$ and range resolution of the order of $c/B \simeq 5\lambda_o/(2\pi)$, which is indeed what can be seen in the figure. The SAR imaging function performs poorly in the presence of medium perturbations (Figure 6.3(a)–6.4(a)). The standard CINT function gives a robust but low-resolution image, in the absence or in the presence of medium perturbations and additive noise (Figure 6.2(b)–6.4(b)). The formula (4.28) predicts cross-range resolution of the order of $L/(k_o \tilde{X}) \simeq L/(k_o X) \simeq 15\lambda_o/(2\pi)$ and range resolution of the order of $c/\tilde{\Omega} \simeq c/\Omega \simeq 25\lambda_o/(2\pi)$, which is larger than the distances between the point-like scatterers, and this produces the large spot that can be seen in the figures. The HCINT imaging function is displayed in Figure 6.2(c)–6.4(c) and gives a high-resolution image, in the absence or in the presence of medium perturbations (Figure 6.2(d)–6.4(d)).

However, HCINT is sensitive to strong additive noise. The central peak of $\mathcal{I}_{\text{HCINT}}(\mathbf{y}^S)$ is enhanced as predicted in section 5.2 and as seen in Figure 6.4(c), where the central peak dominates the others, compared to the other (c) labeled pictures. Consequently, the phase-retrieval algorithm cannot determine the correct amplitudes of the four peaks of the function ρ (Figure 6.4(d)). By reducing the amplitude of the main peak of $\mathcal{I}_{\text{HCINT}}(\mathbf{y}^S)$ by 20% we get a better image, Figure 6.4(f). In practice, there may be a way to automate this procedure, based on an estimate of the noise level. In any case, the phase-retrieval algorithm is known to be sensitive to noise.

¹In the analysis we assumed that $\ell_c \ll L$ in order to use the central limit theorem and obtain Gaussian random travel time fluctuations \mathcal{T}_μ . In the numerical simulations we use a Gaussian μ , which means that \mathcal{T}_μ is Gaussian, no matter the ratio L/ℓ_c .

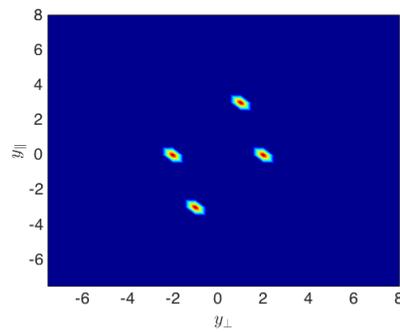


Figure 6.1. The reflectivity model of four identical point-like scatterers. The abscissa is cross-range and the ordinate is range, in multiples of the central wavelength λ_o .

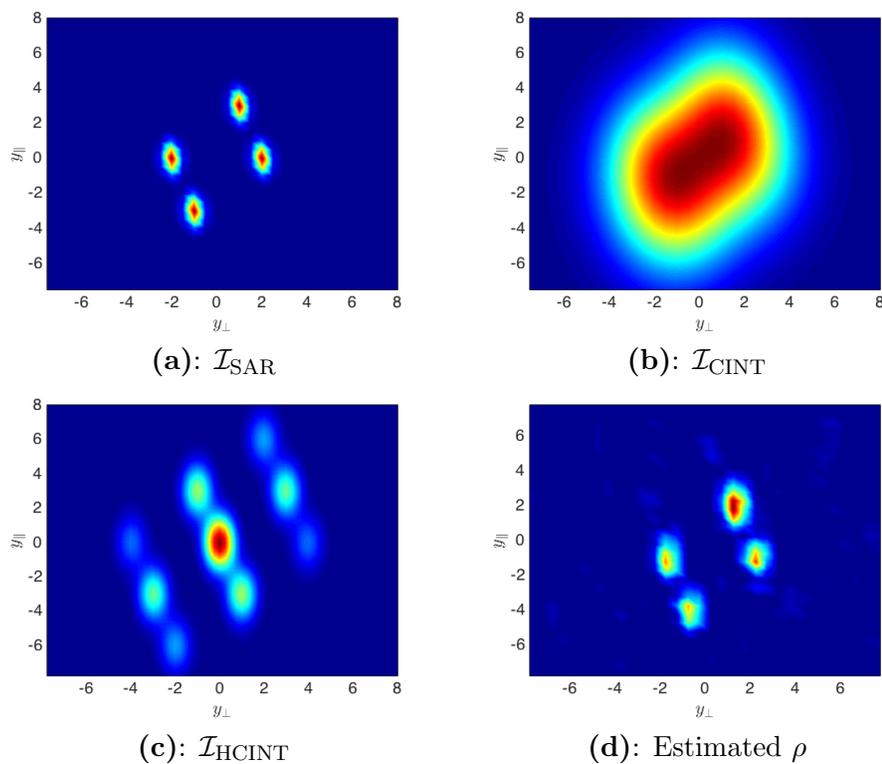


Figure 6.2. The imaging functions: \mathcal{I}_{SAR} (a), $\mathcal{I}_{\text{CINT}}$ (b), $\mathcal{I}_{\text{HCINT}}$ (c), and the reconstructed reflectivity (d). The axes are as in Figure 6.1. No medium fluctuations and no additive noise.

As we stated earlier, there is ambiguity in the estimated reflectivity in the sense that $\rho(\mathbf{x})$ and $\rho(\mathbf{x} - \mathbf{x}_*)$ cannot be distinguished, for arbitrary \mathbf{x}_* . In Figures 6.1(d)–6.4(d) we display the results given by the phase retrieval algorithm, and they indeed show the reflectivity function up to an apparently unpredictable global shift. The reconstructions could be shifted by hand to the center of the peak of the CINT image. Moreover, since $\rho(\mathbf{x})$ and $\rho(-\mathbf{x})$ have the same modulus of the Fourier transform, it is impossible to distinguish them with

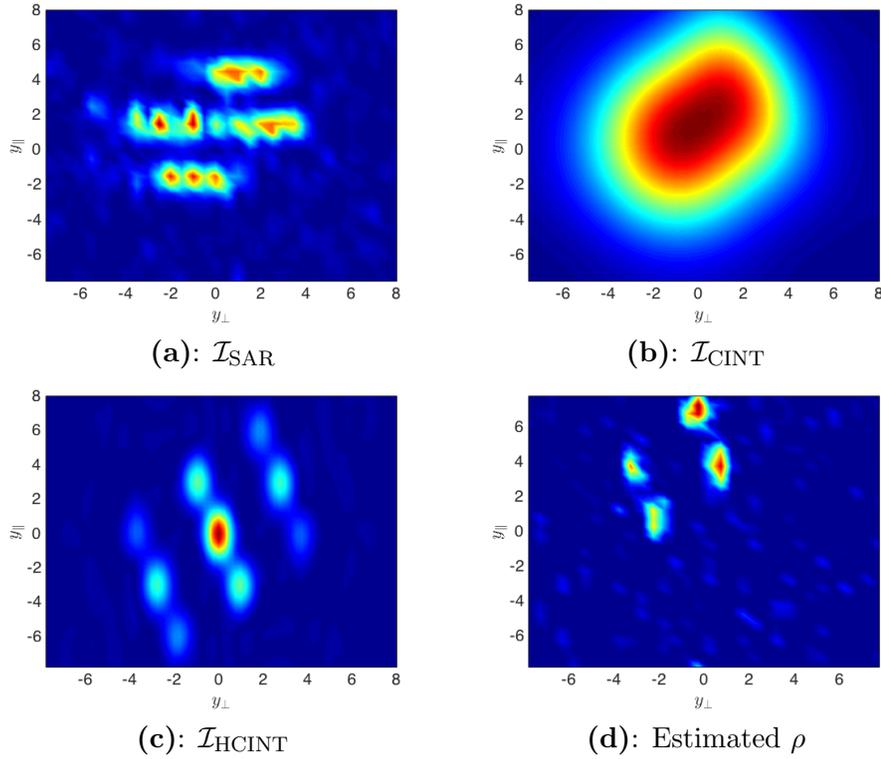


Figure 6.3. The imaging functions: \mathcal{I}_{SAR} (a), $\mathcal{I}_{\text{CINT}}$ (b), $\mathcal{I}_{\text{HCINT}}$ (c), and the reconstructed reflectivity (d). The axes are as in Figure 6.1. Moderate additive noise (20%) and strong medium perturbations, corresponding to $\omega_o\tau = 6\pi$ (recall (3.6)).

phase retrieval. This is not an issue in Figures 6.1(d)–6.4(d) because the true reflectivity is symmetric with respect to the origin. The numerical simulations illustrate the fact that the CINT imaging function gives a stable and low-resolution global image, and it can be used for detection and localization purposes. The HCINT imaging method can give zoomed images in some regions of interest detected by the CINT image, and it could be used for characterization and identification purposes.

7. Summary. We introduced a novel interferometric imaging method for high-resolution synthetic aperture imaging of the reflectivity of a remote region, when the waves propagate through scattering random media. The method builds on the CINT approach which uses empirical cross-correlations calculated over carefully chosen data sets in order to mitigate the distortion of the wave caused by scattering. This mitigation comes at the expense of the resolution. The algorithm introduced in this paper is based on a modified version of the CINT method, where the imaging scene is sampled at pairs of points. It shows how to use this modified imaging function to estimate the modulus of the Fourier transform of the unknown reflectivity function. The image of the reflectivity is obtained from this estimate using a phase retrieval algorithm, and the resolution is comparable to that of imaging through known and nonscattering media. The imaging method inherits the robustness of CINT with respect to the uncertainty of the random medium. However, the phase retrieval part is sensitive to noise.

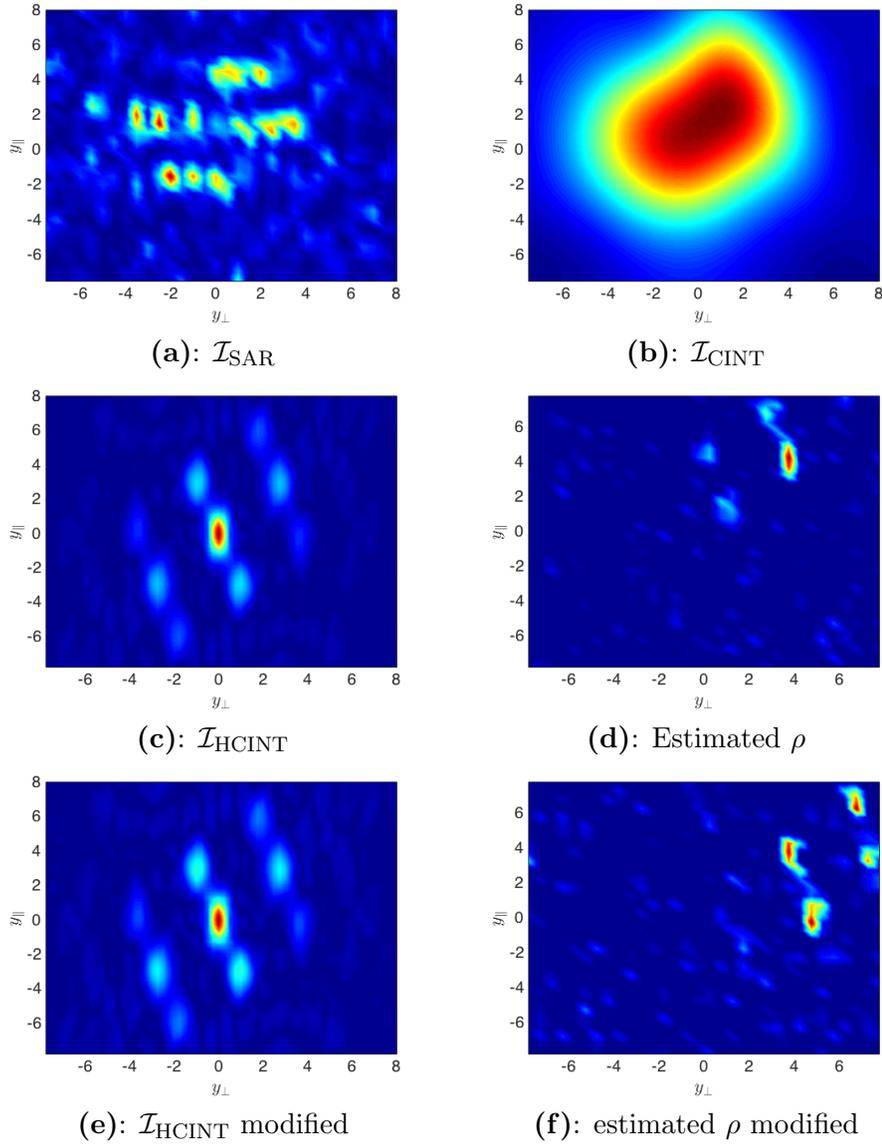


Figure 6.4. The imaging functions: \mathcal{I}_{SAR} (a), $\mathcal{I}_{\text{CINT}}$ (b), $\mathcal{I}_{\text{HCINT}}$ (c), and the reconstructed reflectivity (d). The axes are as in Figure 6.1. Strong additive noise (40%) and strong medium perturbations, corresponding to $\omega_o\tau = 6\pi$. In picture (e) the main peak of the function $\mathcal{I}_{\text{HCINT}}$ has been reduced by 20%. The resulting estimate of the reflectivity is plotted in picture (f).

In its current form, the imaging method is computationally intensive. However, the principle should be of interest for SAR and other imaging modalities, and it should be possible to find more efficient implementations that limit the numerical overburden and can be used in three-dimensional setups.

Appendix A. Derivation of the SAR imaging model. We begin in sections A.1 and A.2 with the derivation of the SAR imaging model (4.9) in the homogeneous medium and the mean speckle pattern (4.18). Then we derive in section A.3 the mean SAR imaging model

(4.12) in the random medium. The derivation of the expression of the covariance (4.19) of the speckle pattern and of the covariance of the SAR image in the random medium is similar.

A.1. Homogeneous medium. In the noiseless case, the expression of the SAR imaging function (4.6) is

$$(A.1) \quad \mathcal{I}_{\text{SAR}}(\mathbf{y}^S) = \left| \int_{\mathbb{R}^2} d\mathbf{y} \rho(\mathbf{y}) K(\mathbf{y}^S - \mathbf{y}) \right|^2$$

with integral kernel

$$(A.2) \quad K(\mathbf{y}^S - \mathbf{y}) = \frac{1}{2^7 \pi^3 L^2} \int_{\mathbb{R}} d\omega \int_{\mathbb{R}} dx_{\perp} \exp \left[-\frac{(\omega - \omega_o)^2}{B^2} - \frac{x_{\perp}^2}{a^2} \right] \\ \times \exp \left[2ik \left(L - y_{\parallel} + \frac{(x_{\perp} - y_{\perp})^2}{2L} \right) - 2ik \left(L - y_{\parallel}^S + \frac{(x_{\perp} - y_{\perp}^S)^2}{2L} \right) \right],$$

where we used definitions (4.7), (4.8) and the paraxial approximation of the Green's function

$$\widehat{G}(\omega, \mathbf{y}, (L, x_{\perp})) \approx \frac{1}{\sqrt{8\pi k L}} \exp \left[2ik \left(L - y_{\parallel} + \frac{(x_{\perp} - y_{\perp})^2}{2L} \right) \right].$$

Introducing the constant $C = 1/(2^{14} \pi^6 L^4)$ and carrying out the integration in x_{\perp} in (A.2) we obtain

$$(A.3) \quad K(\mathbf{y}^S - \mathbf{y}) = \sqrt{\pi C a} \int_{\mathbb{R}} d\omega \exp \left[-\frac{(\omega - \omega_o)^2}{B^2} - \frac{k^2 a^2 (y_{\perp}^S - y_{\perp})^2}{L^2} \right] \\ \times \exp \left[2ik(y_{\parallel}^S - y_{\parallel}) - \frac{ik}{L} (y_{\perp}^S - y_{\perp})(y_{\perp}^S + y_{\perp}) \right].$$

It remains to integrate (A.3) over ω , where we recall that $k = \omega/c$ and $k_o = \omega_o/c$. Before we do so, we rewrite the second term in the exponent in (A.3) as

$$(A.4) \quad \frac{k^2 a^2 (y_{\perp}^S - y_{\perp})^2}{L^2} = \frac{k_o^2 a^2 (y_{\perp}^S - y_{\perp})^2}{L^2} \left[1 + \frac{2(\omega - \omega_o)}{\omega_o} + \frac{(\omega - \omega_o)^2}{\omega_o^2} \right] \\ = \frac{k_o^2 a^2 (y_{\perp}^S - y_{\perp})^2}{L^2} \left[1 + O\left(\frac{B}{\omega_o}\right) \right]$$

and note that the integrand in (A.3) is large only when $|y_{\perp}^S - y_{\perp}|/[L/(k_o a)] = O(1)$. Since $B \ll \omega_o$, this means that we can neglect the frequency dependence in the exponent (A.4). The second phase in (A.3) can also be written as

$$(A.5) \quad \frac{k}{L} (y_{\perp}^S - y_{\perp})(y_{\perp}^S + y_{\perp}) = \frac{k_o a (y_{\perp}^S - y_{\perp})(y_{\perp}^S + y_{\perp})}{L a} \left[1 + O\left(\frac{B}{\omega_o}\right) \right] \\ = O\left(\frac{|y_{\perp}^S + y_{\perp}|}{a}\right) \left[1 + O\left(\frac{B}{\omega_o}\right) \right],$$

and it is negligible because the linear size of the imaging region is much smaller than a in SAR (i.e., $|y_{\perp}^S + y_{\perp}| \ll a$). Therefore, the kernel (A.3) becomes

$$(A.6) \quad K(\mathbf{y}^S - \mathbf{y}) \approx \sqrt{\pi C} a \exp \left[-\frac{(y_{\perp}^S - y_{\perp})^2}{[L/(k_o a)]^2} + 2ik_o(y_{\parallel}^S - y_{\parallel}) \right] \\ \times \int_{\mathbb{R}} d\omega \exp \left[-\frac{(\omega - \omega_o)^2}{B^2} + 2i\frac{(\omega - \omega_o)(y_{\parallel}^S - y_{\parallel})}{c} \right],$$

and integrating over ω we get

$$(A.7) \quad K(\mathbf{y}^S - \mathbf{y}) \approx \sqrt{C} \pi a B \exp \left[-\frac{(y_{\perp}^S - y_{\perp})^2}{[L/(k_o a)]^2} - \frac{(y_{\parallel}^S - y_{\parallel})^2}{(c/B)^2} + 2ik_o(y_{\parallel}^S - y_{\parallel}) \right].$$

The expression (4.9) follows after substituting (A.7) in (A.1).

A.2. The noise induced mean SAR speckle pattern. The mean speckle pattern in the SAR image is given by the expectation of (4.17),

$$(A.8) \quad \mathbb{E} \left[\mathcal{I}_{\text{SAR}, W}(\mathbf{y}^S) \right] = \frac{1}{(2\pi)^2} \int_{\mathbb{R}^2} d\omega d\omega' \int_{\mathbb{R}^2} dx_{\perp} dx'_{\perp} \overline{\widehat{F}(\omega, x_{\perp}, \mathbf{y}^S)} \widehat{F}(\omega, x'_{\perp}, \mathbf{y}^S) \\ \times \exp \left(-\frac{x_{\perp}^2 + x'_{\perp}{}^2}{a^2} \right) \mathbb{E} \left[\widehat{W}(\omega, x_{\perp}) \overline{\widehat{W}(\omega', x'_{\perp})} \right].$$

The expectation in the integrand is obtained from (4.5), and using the definition (4.8) of \widehat{F} and the paraxial approximation of the Green's function we get

$$(A.9) \quad \mathbb{E} \left[\mathcal{I}_{\text{SAR}, W}(\mathbf{y}^S) \right] \approx \frac{\sigma_W^2}{(2\pi)^2 (\sqrt{8\pi k_o L})^4} \int_{\mathbb{R}} d\omega \exp \left[-\frac{(\omega - \omega_o)^2}{B^2} \right] \int_{\mathbb{R}} dx_{\perp} \exp \left[-\frac{2x_{\perp}^2}{a^2} \right] \\ = \frac{\sigma_W^2 a B}{2^{17/2} \pi^3 k_o^2 L^2},$$

as stated in (4.18).

A.3. SAR image in the random medium. The expression of the mean of the SAR imaging function (4.6) is

$$(A.10) \quad \mathbb{E} \left[\mathcal{I}_{\text{SAR}}(\mathbf{y}^S) \right] = \int_{\mathbb{R}^2} d\mathbf{y} \rho(\mathbf{y}) \int_{\mathbb{R}^2} d\mathbf{y}' \rho(\mathbf{y}') K(\mathbf{y}, \mathbf{y}', \mathbf{y}^S)$$

with integral kernel

$$(A.11) \quad K(\mathbf{y}, \mathbf{y}', \mathbf{y}^S) = C \int_{\mathbb{R}^2} d\omega d\omega' \int_{\mathbb{R}^2} dx_{\perp} dx'_{\perp} \exp \left[-\frac{(\omega - \omega_o)^2 + (\omega' - \omega_o)^2}{B^2} - \frac{x_{\perp}^2 + (x'_{\perp})^2}{a^2} \right] \\ \times \exp \left[2ik \left(L - y_{\parallel} + \frac{(x_{\perp} - y_{\perp})^2}{2L} \right) - 2ik \left(L - y_{\parallel}^S + \frac{(x_{\perp} - y_{\perp}^S)^2}{2L} \right) \right] \\ \times \exp \left[-2ik' \left(L - y'_{\parallel} + \frac{(x'_{\perp} - y'_{\perp})^2}{2L} \right) + 2ik' \left(L - y'_{\parallel}^S + \frac{(x'_{\perp} - y'_{\perp}^S)^2}{2L} \right) \right] \\ \times \exp \left[-\frac{(x_{\perp} - x'_{\perp})^2}{2X_d^2} - \frac{(\omega - \omega')^2}{2\Omega_d^2} \right]$$

and $k = \omega/c$ and $k' = \omega'/c$. Here we used definitions (4.7), (4.8), the paraxial approximation of the Green's function, and the moment formula (3.11).

It is convenient to rewrite (A.11) using the change of variables

$$\omega = \bar{\omega} + \frac{\tilde{\omega}}{2}, \quad \omega' = \bar{\omega} - \frac{\tilde{\omega}}{2}, \quad x_{\perp} = \bar{x}_{\perp} + \frac{\tilde{x}_{\perp}}{2}, \quad x'_{\perp} = \bar{x}_{\perp} - \frac{\tilde{x}_{\perp}}{2}$$

and obtain

$$\begin{aligned} K(\mathbf{y}, \mathbf{y}', \mathbf{y}^S) &= C \int_{\mathbb{R}^2} d\bar{\omega} d\tilde{\omega} \int_{\mathbb{R}^2} d\bar{x}_{\perp} d\tilde{x}_{\perp} \exp \left[-\frac{2(\bar{\omega} - \omega_o)^2}{B^2} - \frac{\tilde{\omega}^2}{2\tilde{B}^2} - \frac{2\bar{x}_{\perp}^2}{a^2} - \frac{\tilde{x}_{\perp}^2}{2\tilde{a}^2} \right] \\ &\times \exp \left\{ \frac{2i\bar{\omega}}{c} \left[y'_{\parallel} - y_{\parallel} + \frac{\bar{x}_{\perp}(y'_{\perp} - y_{\perp})}{L} + \frac{\tilde{x}_{\perp}(y_{\perp}^S - \frac{y_{\perp} + y'_{\perp}}{2})}{L} - \frac{(y'_{\perp} - y_{\perp})(y'_{\perp} + y_{\perp})}{2L} \right] \right\} \\ &\times \exp \left\{ \frac{2i\tilde{\omega}}{c} \left[y_{\parallel}^S - \frac{y_{\parallel} + y'_{\parallel}}{2} + \frac{\bar{x}_{\perp}(y_{\perp}^S - \frac{y_{\perp} + y'_{\perp}}{2})}{L} + \frac{\tilde{x}_{\perp}(y'_{\perp} - y_{\perp})}{4L} - \frac{2(y_{\perp}^S)^2 - y_{\perp}^2 - (y'_{\perp})^2}{4L} \right] \right\}, \end{aligned}$$

where $\tilde{B} < B$ and $\tilde{a} < a$ are defined in (4.13). A similar estimate to (A.5) gives that

$$\frac{2\bar{\omega}|(y'_{\perp} - y_{\perp})(y'_{\perp} + y_{\perp})|}{2cL} \ll 1, \quad \frac{\tilde{\omega}|2(y_{\perp}^S)^2 - y_{\perp}^2 - (y'_{\perp})^2|}{2cL} \ll 1,$$

so we can neglect the last terms in the second and third line of the expression of the kernel. Carrying out the integrals in \bar{x}_{\perp} and \tilde{x}_{\perp} we get the result

$$\begin{aligned} K(\mathbf{y}, \mathbf{y}', \mathbf{y}^S) &\approx \pi C a \tilde{a} \int_{\mathbb{R}^2} d\bar{\omega} d\tilde{\omega} \exp \left[-\frac{2(\bar{\omega} - \omega_o)^2}{B^2} + \frac{2i\bar{\omega}(y'_{\parallel} - y_{\parallel})}{c} \right] \\ &\times \exp \left[-\frac{\tilde{\omega}^2}{2\tilde{B}^2} + \frac{2i\tilde{\omega}(y_{\parallel}^S - \frac{y_{\parallel} + y'_{\parallel}}{2})}{c} \right] \\ &\times \exp \left\{ -\frac{a^2}{2} \left[\frac{\bar{\omega}(y'_{\perp} - y_{\perp})}{cL} + \frac{\tilde{\omega}(y_{\perp}^S - \frac{y_{\perp} + y'_{\perp}}{2})}{cL} \right]^2 \right\} \\ (A.12) \quad &\times \exp \left\{ -2\tilde{a}^2 \left[\frac{\tilde{\omega}(y'_{\perp} - y_{\perp})}{4cL} + \frac{\bar{\omega}(y_{\perp}^S - \frac{y_{\perp} + y'_{\perp}}{2})}{cL} \right]^2 \right\}. \end{aligned}$$

This expression can be simplified as follows: Due to the exponential in the third line, the kernel is large if

$$\frac{a\bar{\omega}|y'_{\perp} - y_{\perp}|}{cL} \approx \frac{ak_o|y'_{\perp} - y_{\perp}|}{L} = O(1).$$

Thus, we can estimate

$$\frac{\tilde{a}\tilde{\omega}|y'_{\perp} - y_{\perp}|}{cL} = O\left(\frac{\tilde{a}\tilde{\omega}}{a\omega_o}\right) \ll 1, \quad \frac{\tilde{a}(\bar{\omega} - \omega_o)|y'_{\perp} - y_{\perp}|}{cL} = O\left(\frac{\tilde{a}B}{a\omega_o}\right) \ll 1$$

and simplify the last exponential in (A.12) as

$$\exp \left\{ -2\tilde{a}^2 \left[\frac{\tilde{\omega}(y'_{\perp} - y_{\perp})}{2cL} + \frac{\bar{\omega}(y_{\perp}^S - \frac{y_{\perp} + y'_{\perp}}{2})}{cL} \right]^2 \right\} \approx \exp \left[-\frac{2\tilde{a}^2 k_o^2 (y_{\perp}^S - \frac{y_{\perp} + y'_{\perp}}{2})^2}{L^2} \right].$$

From this expression we see that the kernel is large if

$$\left| y_{\perp}^S - \frac{y_{\perp} + y'_{\perp}}{2} \right| = O\left(\frac{L}{k_o \tilde{a}}\right),$$

so we estimate

$$\frac{a\tilde{\omega} \left| y_{\perp}^S - \frac{y_{\perp} + y'_{\perp}}{2} \right|}{cL} = O\left(\frac{a\tilde{\omega}}{\tilde{a}\omega_o}\right) = O\left[\left(1 + \frac{a^2}{X_d^2}\right)^{1/2} \frac{\tilde{B}}{\omega_o}\right] = O\left(\frac{a\tilde{B}}{X_d\omega_o}\right) = O\left(\frac{a}{\ell_c}\right) \ll 1.$$

Here we used the definition (4.13) of \tilde{a} , the relation $\Omega_d/X_d = \sqrt{2\pi/3}(a/\ell_c)$ derived from (3.12), and the assumption $a \ll \ell_c$. Finally, we show, similarly to (A.4), that

$$\frac{a^2\bar{\omega}^2(y'_{\perp} - y_{\perp})^2}{2(cL)^2} \approx \frac{k_o^2 a^2 (y'_{\perp} - y_{\perp})^2}{2(cL)^2}.$$

Substituting in (A.12) and carrying out the integrals in $\bar{\omega}$ and $\tilde{\omega}$ we get

$$\begin{aligned} K(\mathbf{y}, \mathbf{y}', \mathbf{y}^S) &\approx \pi^2 C a \tilde{a} B \tilde{B} \exp \left[-\frac{[(y_{\parallel}^S - y_{\parallel}) + (y_{\parallel}^S - y'_{\parallel})]^2}{2(c/\tilde{B})^2} - \frac{[(y_{\parallel}^S - y_{\parallel}) - (y_{\parallel}^S - y'_{\parallel})]^2}{2(c/B)^2} \right] \\ &\times \exp \left[-\frac{[(y_{\perp}^S - y_{\perp}) + (y_{\perp}^S - y'_{\perp})]^2}{2[L/(k_o\tilde{a})]^2} - \frac{[(y_{\perp}^S - y_{\perp}) - (y_{\perp}^S - y'_{\perp})]^2}{2[L/(k_o a)]^2} + 2ik_o(y'_{\parallel} - y_{\parallel}) \right]. \end{aligned}$$

Now note that

$$\begin{aligned} &\frac{[(y_{\parallel}^S - y_{\parallel}) + (y_{\parallel}^S - y'_{\parallel})]^2}{2(c/\tilde{B})^2} + \frac{[(y_{\parallel}^S - y_{\parallel}) - (y_{\parallel}^S - y'_{\parallel})]^2}{2(c/B)^2} \\ &= \frac{(B^2 + \tilde{B}^2)}{2c^2} [(y_{\parallel}^S - y_{\parallel})^2 + (y_{\parallel}^S - y'_{\parallel})^2] - \frac{(B^2 - \tilde{B}^2)}{c^2} (y_{\parallel}^S - y_{\parallel})(y_{\parallel}^S - y'_{\parallel}) \\ &= \frac{\tilde{B}^2}{c^2} [(y_{\parallel}^S - y_{\parallel})^2 + (y_{\parallel}^S - y'_{\parallel})^2] + \frac{(B^2 - \tilde{B}^2)}{2c^2} [(y_{\parallel}^S - y_{\parallel}) - (y_{\parallel}^S - y'_{\parallel})]^2, \end{aligned}$$

where $B^2 - \tilde{B}^2 = B^2\tilde{B}^2/\Omega_d^2$ by definition (4.13). A similar calculation applies to the exponent in the second line of the expression of the kernel, and the result (4.12) follows.

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